

Exhibit 300: Capital Asset Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview & Summary Information

Date Investment First Submitted: 2009-06-30
Date of Last Change to Activities: 2012-07-23
Investment Auto Submission Date: 2012-02-28
Date of Last Investment Detail Update: 2011-09-16
Date of Last Exhibit 300A Update: 2012-07-23
Date of Last Revision: 2012-07-23

Agency: 009 - Department of Health and Human Services
Medicaid Services

Bureau: 38 - Centers for Medicare and

Investment Part Code: 01

Investment Category: 00 - Agency Investments

1. Name of this Investment: CMS Medicare Appeals System (MAS)

2. Unique Investment Identifier (Ull): 009-000002454

Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

The Medicare Appeals and Hearings function ensures that the due process rights of beneficiaries, physicians and other suppliers who are dissatisfied with initial claims and service determinations and subsequent appeal decisions are protected under the Medicare program. In keeping with CMS' Strategic Plan Objectives to provide High Value Health Care as well as Confident and Informed customers, the appeals and hearings function is focused on improving beneficiary satisfaction with programs and services, increasing the usefulness of communications, and maintaining and improving CMS' position as a prudent program administrator and an accountable steward of public funds. In order to achieve a robust and enduring technical solution to all the appeals processing needs, the Medicare Appeals System (MAS) was awarded to a contractor in September 2003. The MAS design supports a unified case tracking system that facilitates maintenance and transfer of case specific data with regard to fee-for-service and managed care appeals throughout the four levels of the appeals process, i.e., Level 1 appeals: affiliated contractors, Level 2 appeals: QICs, Level 3 appeals: ALJs, and Level 4 appeals: the DAB. In developing the MAS, HHS and SSA worked together to capitalize on SSA's knowledge and experience in developing the case processing management system (CPMS) and avoid unnecessary duplication. In addition to basic case tracking across all levels of Medicare appeals in a unified system, the MAS provides the

capability to report on appeals data, enable more accurate and expedient responses to Congressional questions, and provide more precise data for assessment and policy-setting. MAS fulfills these business needs by focusing on data collection, data analysis, and workflow management. The MAS is capable of docketing/calendaring hearings, scheduling expert witnesses for testimony, and providing information on the Medicare appeals workload as it relates to the number of ALJs and support staff required to process appeals. Moreover, the MAS envisions a future environment in which all of the management of the appeal files will be handled electronically. Specifically, the ALJ would have electronic access to all necessary documents, prior decisions, and other relevant information. MAS also supports fraud and abuse efforts because it now provides appeals outcomes and statistics to the Recovery Audit Contractors.

2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.

The Medicare Appeals System (MAS) ensures due process rights are protected under Medicare. The goal of MAS is to support the end-to-end appeals process for the Fee-for-Service, Medicare Advantage, and Prescription Drug Programs as mandated by: (1) The Beneficiary Improvement & Protection Act, (2) The Balanced Budget Act, (3) Final Rule CMS-424-FC, and (4) The Medicare Modernization Act. The MAS is a system that tracks and records Medicare appeals through multiple levels of the appeal process. (Currently, MAS supports Level 2 and Level 3 appeals processing.) The system leverages processes and consolidates data to allow users across levels to realize benefits of reusable, centralized data. The system supports standard processing of appeals and availability of data to other levels while allowing stakeholders and user groups to shape procedures at their desired level. If MAS is not fully funded, CMS will not be able to fulfill its legal and fiduciary responsibilities to provide due process rights to Medicare providers and beneficiaries as described above.

3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.

Prior Year (FY2011) accomplishments: The MAS was successfully upgraded to Siebel version 8.1.1.3 to provide improved system performance and to facilitate planned MAS initiatives, e.g., Task Based User Interface (TBUI) for Level 3 (ALJ) Appeals; an Electronic Case File for Level 3 (ALJ) Appeals and MAS 508 Compliancy. Completed the planning and requirements phase for the e-Case File effort to allow Level 3 MAS users to process appeals using an electronic case file, reducing the time necessary to request, send, track, organize, print, and store the paper case file. Completed the planning and requirements phase for the process-oriented Task Based User Interface (TBUI) for Level 3 (ALJ) Appeals to allow tasks to be presented to the user on the screen based on prior task completion or selection and to tailor MAS to fit the needs of the Office of Medicare Hearings and Appeals (OMHA) business processes and workflows.

4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).

FY2012 & FY2013 Operation & Maintenance: Includes the implementation of 4-6 releases

each year to support Business Owner Change Requests (CR); Support Tickets; CMS infrastructure changes; processing appeals; database maintenance; MAS User Support Desk; document scanning; daily monitoring & production support; etc. CMS also plans to complete the final development, validation and implementation of a fully 508 compliant version of MAS. FY2012 & FY2013 DME: e-Case File: Complete the end-to-end analysis and evaluation of the present appeals case file workflow and design of MAS to meet that workflow; Complete a detailed design document for the e-Case File module; Complete Develop, Validation, Testing and Installation of the e-Case File module for the MAS system; and provide training materials and training for OMHA ALJ staff in use of the e-Case File module. Task Based User Interface (TBUI) for Level 3 (ALJ) Appeals: Complete a detailed design document for the TBUI project; complete the development of the project elements as designated in the priority setting sessions at the end of system requirements; Validate, Test and Install the TBUI project additions and changes for/to the MAS system; and Provide training materials and training for OMHA staff in use of the MAS system changes and additions. Integration of Level 1 Appeals into MAS: CMS will begin the analysis and business requirements gathering stage of the CMS Investment Lifecycle to begin the process to integrate Level 1 Appeals into MAS. Integration of Level 4 Appeals (Departmental Appeals Board) into MAS: CMS will begin the analysis and business requirements gathering stage of the CMS Investment Lifecycle to begin the process to integrate Level 4 Appeals into MAS. Work on this project is contingent upon the receipt of additional funding to be provided by the Departmental Appeals Board.

5. **Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

2003-11-05

Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	\$0.0	\$0.0	\$0.0	\$0.0
DME (Excluding Planning) Costs:	\$20.4	\$0.0	\$0.0	\$1.0
DME (Including Planning) Govt. FTEs:	\$0.0	\$0.0	\$0.0	\$0.0
Sub-Total DME (Including Govt. FTE):	\$20.4	0	0	\$1.0
O & M Costs:	\$15.0	\$5.7	\$5.5	\$4.7
O & M Govt. FTEs:	\$1.1	\$0.4	\$0.3	\$0.4
Sub-Total O & M Costs (Including Govt. FTE):	\$16.1	\$6.1	\$5.8	\$5.1
Total Cost (Including Govt. FTE):	\$36.5	\$6.1	\$5.8	\$6.1
Total Govt. FTE costs:	\$1.1	\$0.4	\$0.3	\$0.4
# of FTE rep by costs:	10	3	3	3
Total change from prior year final President's Budget (\$)		\$-3.1	\$-2.6	
Total change from prior year final President's Budget (%)		-33.47%	-30.35%	

2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:

The current funding levels for this investment were developed through the CMS' annual CPIC process. The funding levels for MAS do not equal the President's Budget because the CMS portfolio has been adjusted to reflect re-evaluated Agency priorities.

Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded		HHSM500200700015I									
Awarded		HHSM500200700015I									

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

Exhibit 300B: Performance Measurement Report

Section A: General Information

Date of Last Change to Activities: 2012-07-23

Section B: Project Execution Data

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
294949	MAS 508 Conformance Phase 1	<p>When MAS was designed and implemented in May 2005, documentation from the COTS vendors, Siebel, Cognos and Kofax, were used to satisfy the requirements for Section 508 Compliance. Since then, CMS has improved its 508 Compliance Policy and has issued directives to all CMS systems to make sure that they are fully 508 Compliant. This project is the first phase of a multi-year effort across multiple releases to bring MAS into compliance with the Section 508 Compliance rules and regulations. The objects of the initial phase are:</p> <ul style="list-style-type: none"> Complete the stand up of the MAS application in the Siebel Standard Interactive (SI) Mode including regression testing and making the needed changes so that existing functionality is operational in both SI and HI modes. Begin performing the configuration needed to 			

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
		implement Siebel's accessibility features, focusing primarily on the Appeals screen and associated views. - Implement the Imaging data model updates (designed in Phase 1) to support section 508 compliance. - Continue implementing Siebel screens to replace some of the functionality in the Imaging custom application.			
295181	MAS Level 3 Task Based User Interface Phase 1	<p>The Task Based User Interface (TBUI) project is being funded by the Office of Medicare Hearings and Appeals (OMHA). It is a multi-year effort across multiple releases designed to improve the mechanism for which the Level 3 (ALJ) Appeals users will conduct their day to day work activities. The TBUI will be designed with the goal to improve task/workflow processing. The ultimate goal of TBUI is to make MAS easier to use and improve the functionality for the Level 3 OMHA users. .</p> <p>The MAS TBUI project is split into two separately funded phases, Phase I and Phase II. The work tasks that shall be completed by the contractor for this Project of TBUI Phase 1 are: -</p> <p>Conduct requirements gathering sessions with all personnel identified by OMHA to be part of the design process. This must include representatives from both headquarters as well as all remote locations. - Examine any existing Business Process Models for work flow details to be checked for validity during the</p>			

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
		<p>requirements gathering process. ·</p> <p>Conduct a series of feedback gathering sessions that afford OMHA staff the ability to review and comment on the requirements sessions results. ·</p> <p>Compile and publish a final Systems Requirements document according to CMS OIS ILC conventions that is of sufficient detail to allow Phase II to start the design and build processes.</p>			
295185	MAS 508 Conformance Phase 2	<p>When MAS was designed and implemented in May 2005, documentation from the COTS vendors, Siebel, Cognos and Kofax, were used to satisfy the requirements for Section 508 Compliancy. Since then, CMS has improved its 508 Compliancy Policy and has issued directives to all CMS systems to make sure that they are fully 508 Compliant. This project is the second phase of a multi-year effort across multiple releases to bring MAS into compliance with the Section 508 Compliancy rules and regulations. The objects of the second phase are: ·</p> <p>Complete the configuration needed to implement Siebel's accessibility features and section 508 testing, deploy SI mode into production, and provide the Product Accessibility Template (PAT) for the Siebel portion of MAS. · Complete implementation of the Imaging interfaces moving from the custom external web application to Siebel screens. The interfaces</p>			

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
		to view and import MAS images will remain part of a custom external application that integrates with Siebel. MAS customizations to the IBM Content Manager viewer do not impact 508 compliance and will utilize the IBM Content Manager PAT.			
295186	MAS Level 3 Task Based User Interface Phase 2	<p>The Task Based User Interface (TBUI) project is being funded by the Office of Medicare Hearings and Appeals (OMHA). It is a multi-year effort across multiple releases designed to improve the mechanism for which the Level 3 (ALJ) Appeals users will conduct their day to day work activities. The TBUI will be designed with the goal to improve task/workflow processing. The ultimate goal of TBUI is to make MAS easier to use and improve the functionality for the Level 3 OMHA users. .</p> <p>The MAS TBUI project is split into two separately funded phases, Phase I and Phase II. The work tasks that shall be completed by the contractor for this Project TBUI Phase 2 are: .</p> <ul style="list-style-type: none"> Complete a detailed design document for the TBUI project; . Upon obtaining approval of the detailed design document, the contractor shall successfully carry out the development of the project elements as designated in the priority setting sessions at the end of system requirements; . Validate, Test and Install the TBUI project additions and changes for/to the MAS system; . Provide training materials and 			

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
		training for OMHA staff in use of the MAS system changes and additions; and, · Place the TBUI project changes and additions into production.			
295187	MAS eCase File Enhancements Phase 1	<p>The eCase File Project is being funded by the Office of Medicare Hearings and Appeals (OMHA) as a multi-year effort. The business purpose of the e-Case File effort is to increase efficiency of adjudication by allowing for Level 3 MAS users to process appeals using an electronic case file; significantly reducing the time necessary to request, send, track, organize, print, and store the paper case file. A further purpose of the e-Case File effort is to increase the security of personally identifiable information by eliminating the risks of breach or loss inherent to the process of shipping physical case files. The functional purpose is to add new functionality to MAS providing enhanced electronic case file capabilities that will reduce existing manual processes with flexibility and efficiency. The MAS eCase File project is split into two separately funded phases. The objective of Phase 1 is to complete System Requirements of sufficient detail to allow the system to be built successfully during Phase II. In order to complete Phase 1, the Contractor shall complete the following work tasks: · Conduct business requirements gathering sessions with all levels and locations of OMHA. ·</p>			

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
		Complete written summations of the requirements gathering sessions and present the results to OMHA staff to gain feedback and approval. · Complete logical system designs to meet the requirements needs. · Complete a final formal System Requirements document in accordance with the CMS OIS ILC and circulate this document for approval.			
295188	MAS eCase File Enhancements Phase 2	The eCase File Project is being funded by the Office of Medicare Hearings and Appeals (OMHA) as a multi-year effort. The business purpose of the e-Case File effort is to increase efficiency of adjudication by allowing for Level 3 MAS users to process appeals using an electronic case file; significantly reducing the time necessary to request, send, track, organize, print, and store the paper case file. A further purpose of the e-Case File effort is to increase the security of personally identifiable information by eliminating the risks of breach or loss inherent to the process of shipping physical case files. The functional purpose is to add new functionality to MAS providing enhanced electronic case file capabilities that will reduce existing manual processes with flexibility and efficiency. The MAS eCase File project is split into two separately funded phases. The objective of Phase 2 is to complete System Requirements of sufficient detail to allow the system to be built			

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
		<p>successfully during Phase II. In order to complete Phase 2, the Contractor shall complete the following work tasks:</p> <ul style="list-style-type: none"> Complete the end-to-end analysis and evaluation of the present appeals case file workflow and design of MAS to meet that workflow; Determine if the current use and integration of the various COTS products used by MAS meet and comply with industry best practices and CMS standards to support the e-Case File functions; Obtain OMHA and CMS concurrence on the design and tools found to be appropriate for the OMHA business case; Complete a detailed design document for the e-Case File module; Upon obtaining approval of the detailed design document, successfully carry out the development of the module; Validate, Test and Install the e-Case File module for the MAS system; Provide training materials and training for OMHA ALJ staff in use of the e-Case File module; and, Place the e-Case File system into production. 			
295189	MAS Correspondence Letter Writing System	<p>The MAS Correspondence Letter Writing System Project is planned to provide the Level 3 Appeals users, at the Office of Medicare Hearings and Appeals (OMHA), the capability to generate appeals correspondence out of MAS. The notices that are to be generated will be based on the standard</p>			

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
		form templates currently in use at OMHA. These notices will be created using existing Siebel BI Publisher functionality.			
295190	MAS Level 1 Implementation Phase 1	<p>This project is the first phase of a multi-year effort across multiple releases to incorporate the Level 1 Medicare Administrative Contractors (MACs) Appeal entities as users of the Medicare Appeals System (MAS). The original goal of MAS was to utilize MAS throughout the five levels of the Medicare appeals process. This would consolidate all levels of appeal in one system, and allow an electronic case file to be used throughout the life of the appeal with a clear audit trail of all actions taken. Since May and September 2005, CMS and OMHA have, respectively, established functionality for level 2 and level 3 appeals tracking in MAS and continually enhance that functionality. However, without the level 1 appeals information in the system, there are still many issues in obtaining and transmitting the correct appeals information throughout the appeals process. The primary purpose of the MAS is to collect and maintain the information necessary to process Medicare claim appeals. The MAS currently tracks procedural and medical appeals data including, but not limited to, appeal status, appeal timeliness, appeal disposition, claims information, and services at issue. All of the information</p>			

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
		captured in the MAS on the workflow processing side (in Siebel) is available in a customized reporting suite (in Cognos) and can be extracted and analyzed. Given the MAS' capabilities for cross-level case tracking, interfacing with the shared systems, and electronic imaging, we believe that expanding use of the MAS to encompass first level appeals has the potential to create significant efficiencies in the claim appeals process. Thus, CMS is exploring options to implement the MAS at all Durable Medical Equipment MACs (DME MACs) and Part A and Part B MACs (A/B MACs).			

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M)	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
294949	MAS 508 Conformance Phase 1							
295181	MAS Level 3 Task Based User Interface Phase 1							
295185	MAS 508 Conformance Phase 2							
295186	MAS Level 3 Task Based User Interface Phase 2							
295187	MAS eCase File Enhancements Phase 1							

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M)	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
295188	MAS eCase File Enhancements Phase 2							
295189	MAS Correspondence Letter Writing System							
295190	MAS Level 1 Implementation Phase 1							

Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
295181	295181: ILC Planning and Initialization Phase - TBUI Phase 1	Conduct the initial planning and strategy meetings with project team, business owner, and system maintainer.	2011-01-28	2011-01-28	2011-01-28	122	0	0.00%
294949	294949: ILC Initialization Phase - 508 Conformance Phase 1	Conduct initial planning and design concept to develop a strategy for completing the first phase of the 508 Conformance Project.	2011-01-31	2011-01-31	2011-01-31	152	0	0.00%
294949	294949: ILC Planning Phase - 508 Conformance Phase 1	Plan and establish an approach for Phase 1. Create a Project Processing Agreement (PPA) and schedule a consultation with the CMS Technical Review Board (TRB) to gain CMS approval of the approach.	2011-02-24	2011-02-24	2011-02-24	23	0	0.00%
295187	295187: ILC	Conduct the initial	2011-02-28	2011-02-28	2011-02-28	154	0	0.00%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
	Initialization Phase	planning and strategy meetings with project team, business owner, and system maintainer.						
295181	295181: Session #1 POC Requirements Gathering Session	Develop Proof of Concept Requirements, develop workflows and use cases.	2011-03-02	2011-03-02	2011-03-02	29	0	0.00%
295181	295181: Session #2 Requirements Gathering/POC Demo	Prepare for, conduct and deliver notes for requirements sessions for subset #2 requirements and workflows.	2011-03-15	2011-03-15	2011-03-15	15	0	0.00%
295181	295181: Session #3 Requirements Gathering/POC Demo	Prepare for, conduct and deliver notes for requirements sessions for subset #3 requirements and workflows.	2011-03-22	2011-03-22	2011-03-22	19	0	0.00%
295181	295181: Session #4 Requirements Gathering/POC Demo	Prepare for, conduct and deliver notes for requirements sessions for subset #4 requirements and workflows.	2011-04-14	2011-04-14	2011-04-14	11	0	0.00%
294949	294949: ILC Requirements Phase - 508 Conformance Phase 1	Conduct requirement gathering sessions with business owners, users and system maintainers.	2011-04-14	2011-04-14	2011-04-14	48	0	0.00%
295181	295181: Session #5 Requirements Gathering/POC Demo	Prepare for, conduct and deliver notes for requirements sessions for subset #5 requirements and workflows.	2011-04-28	2011-04-28	2011-04-28	16	0	0.00%
295181	295181: Session #6 Requirements	Prepare for, conduct and deliver notes for	2011-05-19	2011-05-19	2011-05-19	17	0	0.00%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
	Gathering/POC Demo	requirements sessions for subset #6 requirements and workflows.						
295187	295187: ILC Requirements Phase	Conduct requirements gathering sessions with the Level 3 business owner and users. Develop draft and final System Requirements (SySR) for review and approval by the Level 3 business owner.	2011-06-10	2011-06-10	2011-06-10	74	0	0.00%
294949	294949: ILC Development Phase - 508 Conformance Phase 1	Develop and code Siebel Standard Interactivity Mode version of MAS as building block for 508 compliant version. Conduct cross-team reviews, In Progress Reviews, code review and unit testing.	2011-06-30	2011-06-30	2011-06-30	60	0	0.00%
294949	294949: ILC Design Phase - 508 Conformance Phase 1	Conduct JAD sessions, create and update Siebel Detailed Design Document, Imaging Logical Data Model and Physical Data Model, and prepare Preliminary Design Review presentation for approval by the Technical Review Board.	2011-07-29	2011-07-29	2011-07-29	105	0	0.00%
295185	295185: System Software and Security Development - 508 Conformance Phase 2	Develop and code Siebel Standard Interactivity Mode. Develop design for LDM Transfer Utility, Web Services, Scripts	2011-07-29	2011-07-29	2011-07-29	18	0	0.00%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
		and UI.						
294949	294949: ILC Testing Phase - 508 Conformance Phase 1	Develop Test Plan. test cases, perform peer and cross-team reviews, update scripts, load test cases and scripts into Quality Center, conduct testing as code is migrated across multiple environments before deployment into production.	2011-07-29	2011-07-29	2011-07-29	89	0	0.00%
295185	295185: MAS Release 7.03 Development - 508 Conformance Phase 2	Conduct cross-team reviews, In Progress Reviews (PIR) with all user components, code reviews and unit testing.	2011-09-06	2011-09-06	2011-09-06	36	0	0.00%
295187	295187: ILC Planning Phase	Prepare Project Processing Agreement, meet with CMS Technical Review Board for consult and presentation of Detailed Design Review.	2011-09-26	2011-09-26	2011-09-26	182	0	0.00%
295187	295187: ILC Development Phase	Begin development, coding and unit testing of system changes based on requirements for the eCase File.	2011-09-30	2011-09-30	2011-11-28	92	-59	-64.13%
295185	295185: Development Testing - 508 Conformance Phase 2	Develop Test Plan, test cases, perform peer and cross-team reviews, update scripts, load test cases and scripts into Quality Center.	2011-10-06	2012-06-14		30	-330	-1,100.00%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
295187	295187: ILC Design Phase	Conduct design sessions, JAD sessions, prepare draft and final versions of the Detailed Design Document for the eCase File for signoff by the business owner.	2011-10-21	2011-10-21	2011-11-28	142	-38	-26.76%
295189	295189: ILC Requirements Phase	Develop and document requirements	2011-12-23	2011-12-23	2011-12-09	84	14	16.67%
295187	295187: ILC Testing Phase	Conduct system testing in the Validation Environment; including Peer and PPQA Reviews.	2011-12-31	2011-12-31	2011-12-31	91	0	0.00%
295186	295186: ILC Design Phase 7.4	Design user interface as described in Phase 1	2012-01-06	2012-01-06		95	-238	-250.53%
295189	295189: ILC Design Phase	Design Letter Writing system to meet business requirements	2012-01-31	2012-01-31	2012-01-31	77	0	0.00%
295181	295181: System requirements - TBUI Phase 1	Prepare and deliver draft and final versions of the TBUI System Requirements. This will include Peer, PPQA and business owner reviews and final acceptance by the business owner.	2012-01-31	2012-01-31	2011-09-30	256	123	48.05%
295190	295190: Requirements Gathering Sessions	Requirements will be determined from interviews and field visits	2012-03-16	2012-05-15		67	-168	-250.75%
295186	295186: ILC	Develop and code	2012-03-27	2012-03-27		179	-157	-87.71%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
	Development Phase 7.4	changes to system based on requirements gathered during the first phase of TBUI.						
295188	295188: ILC Development Phase	Develop and code changes to system based on requirements gathered during the first phase of eCase File.	2012-03-27	2011-10-19	2011-10-19	220	160	72.73%
295186	295186: ILC Testing Phase 7.4	Develop Test Plan, test cases, perform peer and cross-team reviews, update scripts, load test cases and scripts into Quality Center.	2012-05-03	2012-05-03		87	-120	-137.93%
295188	295188: ILC Testing Phase	Develop Test Plan, test cases, perform peer and cross-team reviews, update scripts, load test cases and scripts into Quality Center.	2012-05-03	2012-05-30		87	-120	-137.93%
295186	295186: User Acceptance Testing (UAT) 7.4	Extensive testing will be conducted with the business owners and users to ensure that the system changes meet their needs.	2012-05-25	2012-08-14		18	-98	-544.44%
295188	295188: User Acceptance Testing (UAT)	Extensive testing will be conducted with the business owners and users to ensure that the system changes meet their needs.	2012-05-25	2012-07-19		18	-98	-544.44%
295188	295188: Performance Testing in Validation	Conduct performance testing in the Validation	2012-06-19	2012-06-19	2012-04-27	18	53	294.44%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
		Environment to ensure that the changes made have not adversely affected system performance.						
295186	295186: Performance Testing in Validation 7.4	Conduct performance testing in the Validation Environment to ensure that the changes made have not adversely affected system performance.	2012-06-19	2012-06-19		18	-73	-405.56%
295189	295189: ILC Implementation Phase	Implementing the new system	2012-06-30	2012-06-30		15	-62	-413.33%

Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Percentage of time system is available for users (Excluding scheduled & unscheduled CMS infrastructure downtime.)	Percent	Technology - Efficiency	Over target	96.000000	96.000000		97.000000	Monthly
Number of high risk findings found during Security Assessment	Number of high risk findings	Process and Activities - Security and Privacy	Under target	0.000000	0.000000		0.000000	Semi-Annual
Percent of end user locations performing electronic document imaging	Percent	Mission and Business Results - Management of Government Resources	Over target	50.000000	50.000000		50.000000	Semi-Annual
Time taken to send Part A & B case files from Level 2 to Level 3	Number of days	Mission and Business Results - Management of Government Resources	Under target	5.000000	5.000000		0.000000	Semi-Annual
Percent of FFS, Medicare Advantage, and Part D appeal types included in system	Percent	Mission and Business Results - Management of Government Resources	Over target	100.000000	100.000000		100.000000	Semi-Annual
Adherence to budget/cost control discipline as measured by percent above/below planned budget	Percent	Process and Activities - Financial	Under target	10.000000	10.000000		10.000000	Monthly
Percentage of incidents that were closed timely and efficiently as a result of the MAS Support Desk interaction	Percent	Customer Results - Timeliness and Responsiveness	Over target	90.000000	100.000000		100.000000	Monthly

Table II.C.1 Performance Metrics								
Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Accuracy and technical excellence of contract deliverables and reports based on monthly status report	Percent	Process and Activities - Management and Innovation	Over target	95.000000	100.000000		100.000000	Monthly